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Active BGP Probing

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ABSTRACT

For an Internet Service provider (ISP), the knowledge of which interdomain paths are traversed by its BGP announcements – and thus traffic flows – is essential to predict the impact of network faults, to perform effective traffic engineering, to develop peering strategies, and to assess the quality of connectivity provided by the ISP's upstreams. We present methodologies to discover how the BGP announcements for an ISP's prefix are propagated in the Internet, overcoming the limitations of passive observation of BGP routing tables by actively probing the network using specific BGP updates. The techniques do not require any changes to current operational practices or BGP implementations. We also show how our techniques may be used to determine the routing policies of other ISPs with respect to the ISP's prefix. We validate our techniques through experimentation in the IPv6 Internet, discuss their possible application to IPv4, and compare their results to more traditional topology discovery techniques. We also discuss the operational impact of our techniques and possible ethical concerns arising from their use.

1 Introduction

An Internet Service Provider which operates an Autonomous System (AS) Z has a very limited knowledge of the portion of the Internet surrounding Z, and this knowledge dramatically decreases with the distance from Z. Clearly, the ISP knows its upstream providers. However, it typically has only partial knowledge of their upstream providers, and at AS-distance three the knowledge of how the network treats Z's prefixes becomes negligible.

Consider, as an example, the drawing in Fig. 1. Fig. 1(a) shows what an operator of AS 5397 may discover about the routing of its IPv6 prefix 2001:a30::/32 at Dec 30 02:44:00 2004 (UTC) by querying the RIPE NCC RIS [22]. An arrow from an AS A to an AS B in Fig. 1(a) means that an announcement of the specified prefix was made by A to B. This can be inferred because an AS-path containing the pair B A was registered by a RIS route collector. The graph shows that AS 5397's upstream provider (AS 15589) propagates the announcement to AS 10566, AS 3320 and AS 33, but cannot see, for example, that AS 15589 is propagating the announcement to AS 1275 as well.

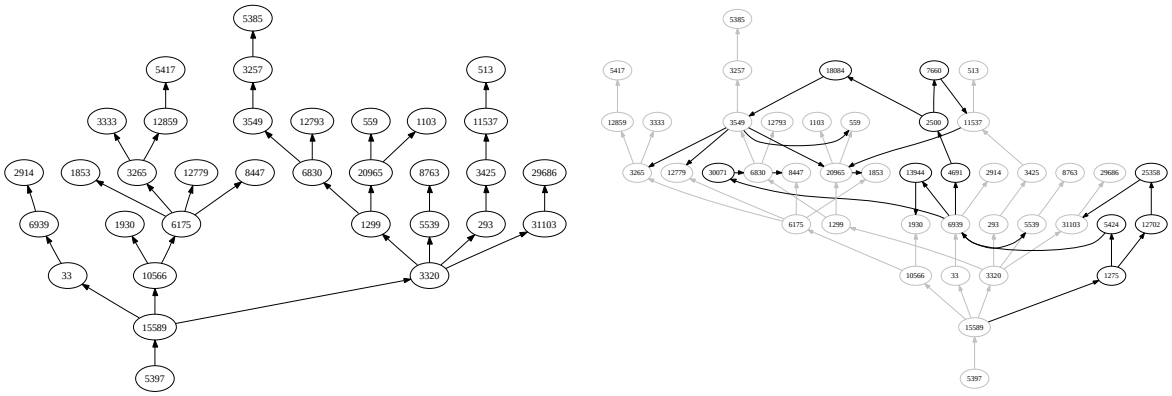


Figure 1: (a) What an operator of AS 5397 may discover about the routing of its prefix 2001:a30::/32 at Dec 30 02:44:00 2004 using standard routing information services. (b) Additional topology discovered by sending one custom BGP announcement which includes ASes 33, 3320 and 10566 in an AS-set.

This lack of information has several disadvantages. First, the ISP does not have a clear perception of what will happen to routing in the case of network faults. Second, the use of traffic engineering requires in-depth information on how the prefixes announced by the ISP are progagated to the rest of the Internet. Third, it is difficult to assess the richness of connectivity and backup paths provided by the ISP's upstreams.

Fig. 1(b) shows the additional information that may be obtained by sending out one BGP announcement containing a custom AS-set, a technique which we name *AS-set stuffing* and which we discuss in Section 3. Fig. 2 shows the result of a more complete exploration performed using the techniques described in this paper which shows all the upstreams of AS 15589 and the complexity of the Internet behind them. The increase in ASes and peerings traversed by the prefix is immediately apparent.

Much has been written on the subject of interdomain topology discovery in the Internet. For example, the behavior of BGP has been passively observed by capturing and

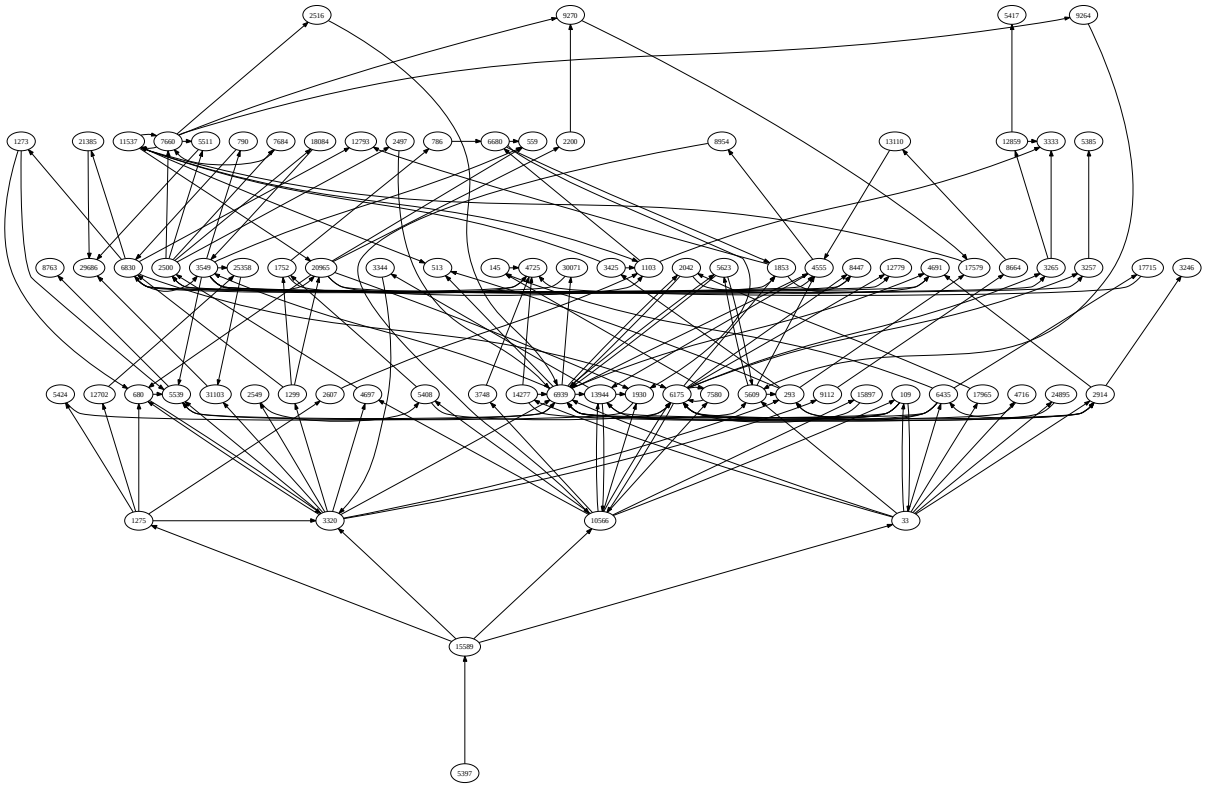


Figure 2: What an operator can see using the techniques described in this paper, in the same situation as in Fig. 1.

analyzing BGP routing tables [3] and announcements [21], and various techniques have been proposed to deduce the interdomain structure using probe packets [9, 24, 16, 7, 17]. Recently, BGP beacons [14] have been used to actively probe the network, with the main purpose of studying network dynamics.

Recent research activity aimed at augmenting the knowledge of interdomain topology through the passive observation of BGP dynamics [28, 4], although more effective than previous techniques in that it discovers hidden links, does not provide information on how a specific prefix is seen by the Internet and how the prefix might be seen by the rest of the network in the event of link faults, changes in routing, or different traffic engineering strategies. While our techniques also observe BGP routing dynamics, they allow an ISP to obtain this information by actively manipulating the BGP announcements for the specific prefix of interest; furthermore, since they alter the Internet routing to a prefix in a stable way, the observation of hidden links does not require the availability of BGP updates but may be performed by querying any looking glass on the Internet, thus greatly increasing the number of views that may be employed in topology discovery. A brief list of the contributions of this paper is as follows:

- We present active probing primitives intended to influence how the announcements of a given prefix propagate over the Internet. The primitives rely on standard BGP.
- We show how to exploit these primitives to devise new discovery algorithms. Namely, we present algorithms that, given a prefix p originated by a certain AS Z, can be

used to discover which ASes and peerings the BGP announcements of p can traverse, with special attention to the portion of the Internet surrounding Z. We also show how our probing primitives can be used to, at least partially, check the policies of a given AS A with regard to a certain prefix p. Namely, we show how to use our primitives: (i) to check if a certain AS path through A is feasible for current routing policies, (ii) to infer, given two AS paths through A, which is preferred.

- In order to determine the degree of feasibility of our techniques and ensure that they do not cause problems to the stability of the Internet, we evaluate their impact on equipment and routing practices in use in today's network.

- We test our techniques on the IPv6 Internet and show their effectiveness.

The rest of the paper is organized as follows: in Section 2 we provide background information. In Section 3 we introduce our primitives, and in Section 4 we present their applications. In Section 5 we discuss the operational impact and the possible ethical issues posed by our techniques. Experimental results are presented in Section 6, and we conclude in Section 7.

2 Background

An Internet Service Provider (ISP) typically administers one or more *Autonomous Systems* (ASes). An AS is a portion of the Internet under a single administrative authority and is identified by an integer number. ASes exchange routing information with other ASes by means of a routing protocol called Border Gateway Protocol (*BGP*) [19, 26]. Two ASes that directly exchange routing information are said to have a *peering* between them. The ASes that have peerings with an AS A are termed the *peers* of A.

BGP operates on blocks of contiguous IP addresses known as *prefixes*. The sequence of ASes traversed by traffic sent to a particular prefix is determined by the *AS-path* attribute associated with the prefix. A $\langle \text{prefix}, \text{AS-path} \rangle$ pair is known as a *route*. BGP peers exchange routes using BGP *update* messages. A BGP update is either a route *announcement* or a route *withdrawal*. An announcement conveys the following information: "through me you can reach a certain prefix; to reach it, I will use the following AS-path". A withdrawal nullifies a previously communicated route for a specified prefix. In other words a withdrawal means "you can no longer reach this prefix through me". The BGP specification [19] states that AS-paths may be composed of an arbitrary number of AS-set or AS-sequence elements. The *AS-sequence* is an ordered list of ASes, while the *AS-set* is an unordered set of ASes. In practice, the vast majority of BGP announcements are composed of a single AS-sequence, possibly followed by an AS-set in certain cases of route aggregation.

Each router stores information on how to reach every prefix it is aware of in its *routing information base* (*RIB*), which is a table of routes. For each prefix in the RIB, one of the routes to it is chosen to be the *best* and used in IP forwarding.

Routes related to a certain prefix begin their existence within an AS called the *originator* of the prefix (typically the AS to which the prefix belongs). The routes are propagated by means of route announcements to peer ASes. A router which receives an update inserts the route into the RIB and recalculates the best route to the prefix. If the best route

has changed, it propagates the new best route to its peers. Every time a router propagates an announcement, it prepends its AS identifier to the AS-path; thus, the AS-path of an update generally is the list of ASes that the announcement has passed through. In order to avoid routing loops, if a BGP router receives an announcement which includes the number of its own AS in the AS-path, it discards the announcement without further processing.

A prefix p is selectively propagated by an AS A to its peers depending on the routing policy adopted by A and by the content of the announcement [6, 10, 11]. We say that an AS-path $A_n \dots A_2 A_1$, where A_1 is the origin AS, is *feasible* for a prefix p if the policies of each A_i permit A_i to announce p to A_{i+1} with AS-path $A_i \dots A_1$. Observe that the feasibility of an AS-path for a prefix does not imply that the AS-path is necessarily visible in the Internet: it only means that under certain circumstances it may be visible. Thus, the set of feasible AS-paths for a prefix p contains all the AS-paths that may be observed for p in the Internet. We note that the concept of feasible path has also been used in the literature on the stability of BGP with the name of *permitted* path (see e.g. [8]). A peering between two ASes P and Q is feasible for p in the direction from P to Q if there exists at least one feasible path $A_n \dots QP \dots A_1$ which includes the peering (where it may be that $Q = A_n$ and/or $P = A_1$). In diagrams we shall represent a feasible peering from P to Q with a directed arc from P to Q. For example, in Fig. 1(a) the directed arc between 10566 and 6175 indicates that the policies of AS 10566 permit it to announce the prefix 2001:a30::/32 to AS 6175.

We name *routing state* for a prefix p at a given time the set of best routes to p of each router in the Internet at that time. We empirically say that a routing state for p is *stable* if we have observed no BGP updates for p for a sufficiently large time interval.

To obtain partial information about the evolution of the Internet routing state, projects such as the RIPE NCC Routing Information Service (RIS) [22] or the University of Oregon's RouteViews Project [18], deploy *route collectors* in specific points of the Internet to record BGP updates from a number of ASes which we name *collector-peers*. Thus, the best routes of each collector-peer at any given time are known. The RIB of the collectors and the updates they receive are periodically dumped, permanently stored and made publicly available over the Web.

3 BGP Probing Primitives

Consider the case of an AS Z that announces a prefix p. In this section we present basic primitives for active BGP probing which can be used by the operators of Z to obtain information on how p is propagated in the Internet. The primitives are based on sending routing updates for p and observing the resultant routing updates, which may lead to the discovery of alternate paths (and, from these, ASes and peerings), that are feasible but are not ordinarily used (e.g., backup paths).

3.1 AS-set Stuffing

In the following we denote an announcement for p with AS-path $\mathcal{P}$ as $\langle p, \mathcal{P} \rangle$. The announcement for p sent by Z to its peers is usually $\langle p, Z \rangle$. To prevent announcements for p from traversing an arbitrarily chosen AS A, Z may announce $\langle p, ZA \rangle$. Since a BGP

router discards any announcement whose AS-path contains its own AS number, A will discard the announcement and will not propagate it to any other AS. The same approach can be used to exclude any set of ASes $A_1, A_2, \ldots, A_n$ by announcing $\langle p, ZA_1A_2 \ldots A_n \rangle$. We name these ASes *prohibited* ASes.

With this approach, the number of prohibited ASes influences the length of the AS-path, which is not desirable since the AS-path length is one of the most important metrics used by BGP routers in the route selection process. To avoid this, the prohibited ASes are put into an AS-set at the end of the AS-path.

Our first primitive, which we name *AS-set stuffing*, consists in announcing p with an AS-path of $Z\{A_1A_2 \ldots A_n\}$, where $\{A_1A_2 \ldots A_n\}$ is an AS-set. The length of the resulting AS-path is counted as two, since the length of an AS-set is typically considered to be one irrespective of the number of ASes in it. The observation of the resulting routing state, and possibly of the convergence process, allows us to determine alternative feasible paths for p that do not contain the prohibited ASes.

3.2 Withdrawal Observation

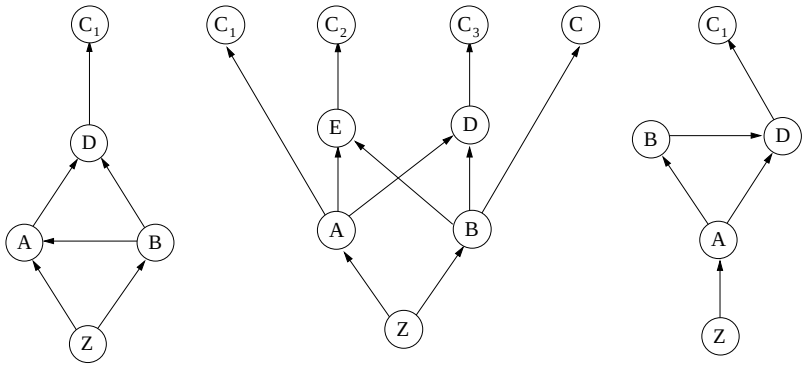
Since BGP is a path vector protocol, when the originator of a particular route withdraws the route, the withdrawal does not immediately reach all the ASes in the network; instead, it propagates across the network in a potentially lengthy (usually lasting several minutes) convergence process which generates a large number of BGP updates as ASes which do not yet know that the route has been withdrawn switch to alternate paths. This is because each AS which receives a withdrawal only sends out a withdrawal itself if it knows no other routes to the destination; otherwise, it will simply send out an update message with one of the alternate paths it knows [13].

Our second primitive, which we name *withdrawal observation*, consists in sending a withdrawal for a p and observing all the paths that become visible during the BGP convergence process.

Although withdrawal observation does not offer the control and flexibility of AS-set stuffing, it has the advantage that it may be performed without having control over the BGP announcements for a particular prefix; all that is needed is to observe a withdrawal event. Therefore, it may be used to discover feasible paths in the vicinity of any AS on the Internet that sends out a withdrawal.

3.3 Limitations and Constraints

Our primitives allow the observation of feasible peerings for a given prefix p which are not ordinarily visible in the absence of active probing. However, there are intrisic limitations to what we may observe. Consider, for example, Fig. 3(a). If Z is the originator of p and C_1 is a collector, the use of AS-set stuffing does not guarantee that it is possible to observe the peering between B and A, although it is feasible. The peering cannot be observed in a stable routing state, because the only probes that can be performed are to prohibit A and/or B, but in every case the peering will not be visible since one of its endpoints is prohibited. However, a path such as C_1DABZ may be visible during BGP convergence, depending on the unpredictable sequence of updates propagated in the network, in which case our primitives will observe the peering.



(a) (b) (c)

Figure 3: Z is the origin AS, while C_1, C_2, C_3, and C_2 are collectors. An edge directed from x to y represents a peering that is feasible in that direction. (a) A topology where AS-set stuffing does not guarantee the discovery of the feasible peering between B and A. (b) A topology in which level-by-level discovery cannot discover all feasible peerings. (c) A topology in which the feasibility of the path $ZABDC_1$ cannot be determined.

Futhermore, the number of ASes that may appear in an AS-set is limited: first, the length of an AS-set is specified by a 1-byte field in the BGP packet, which poses a limit of 255 ASes. Second, as we shall see in Section 5.1, the BGP implementations of many commercial routers pose other limits to the number of ASes that may appear in an AS-path. As we show in Section 6, this is unlikely to be an issue in the application of our techniques.

A constraint of a different nature is posed by route flap dampening [15], which limits the propagation of frequent updates for the same prefix, thus rate-limiting active BGP probing. The exact effect of route flap dampening depends on the topology, but [15] suggests that the maximum length of time a route can be suppressed by dampening in today's Internet is approximately one hour. The degree to which route flap dampening impacts our discovery strategies is discussed separately for each technique in Section 4. Experimental results on the effect of dampening on our techniques are presented in Section 6.

Finally, we note that the portion of the Internet that can be observed is limited by the number of views provided by the route collectors used. This is true of all topology discovery algorithms that use route collectors. However, unlike techniques that rely on observing BGP updates to discover ASes and peerings that are not visible in stable routing states, the use of AS-set stuffing allows us to alter the network so that alternate paths are used in stable routing states. Therefore, observation of ASes and peerings that are not ordinarily visible does not require access to BGP routing updates, but may be performed by (possibly automated) queries to any accessible looking glass on the Internet, thus greatly increasing the number of views that may be employed in topology discovery.

4 Discovery Strategies

In this section, we present several applications of the primitives introduced in Section 3. We again consider the case of an AS Z that announces a prefix p. First, we propose

methods to discover peerings in the vicinity of Z that are feasible for p. Second, we show how Z can check if a certain AS-path originating from Z is feasible for p. Finally, we show how, given two feasible AS-paths starting from Z and ending in an AS A, Z can determine which one is preferred by A. Our general approach can be summarized as follows: Z

(i) Originates a suitable BGP update;

(ii) Waits for the network to reach a stable state;

(iii) Retrieves the updates recorded during the BGP convergence process and the final routing state from the route collectors;

(iv) Infers how the network behaves with respect to p;

The process can be iterated several times.

4.1 Prefix Propagation Discovery

We name *complete feasibility graph* for a prefix p the directed graph whose nodes are ASes and whose arcs are feasible peerings for p. Observe that every feasible AS-path is a directed path in the complete feasibility graph. The reverse is not generally true because of routing policies: for example, an AS B may decide to propagate the announcement for p to an adjacent AS C only if it receives it from AS D and not if it receives it from AS E. Thus, any AS-path containing the sequence $E \rightarrow B \rightarrow C$ is not feasible even though $E \rightarrow B$ and $B \rightarrow C$ are feasible peerings for p.

Ideally, Z would like to know the complete feasibility graph of p. However, this would require the knowledge of the routing policies of every BGP router in the Internet, which is not possible. Consider a certain exploration process undertaken by Z to discover the feasible peerings for p. We name *feasibility graph* the subgraph of the complete feasibility graph which has so far been discovered in the course of the exploration. In the following, we name *level* of a node X in the feasibility graph the length of the shortest directed path from Z to X. Note that the feasibility graph changes over time due to topology and configuration changes, but in the following we assume that it does not change in the course of a discovery process.

AS Z may obtain a feasibility graph at a given time using a query to the route collectors, but the extent of such a graph is limited, as can be seen in Fig. 1. Our strategies for prefix propagation discovery permit Z to obtain a much larger feasibility graph.

Both of the primitives described in Section 3 can be used for this purpose. Z may use withdrawal observation and for every AS-path that is announced during the BGP convergence process, discover the corresponding nodes and arcs of the feasibility graph. However, the portion of the graph that is discovered is not under the direct control of Z, but depends on the order in which BGP updates are propagated. The use of AS-set stuffing gives Z much greater flexibility in the discovery process.

In principle, Z could send 2^n announcements, including in each one an AS-set containing one of the 2^n subsets of the n ASes in the Internet. This would provide the most complete knowledge of the feasibility graph possible with AS-set stuffing. Obviously, such a brute force approach is infeasible both because the number of ASes that may be included in an AS-set is limited and because of the long exploration times it would require.

Therefore, we adopt the following strategy: begin with the directed AS graph seen by the route collectors at a certain instant and proceed level by level, starting from level one. For each level, prohibit all the known ASes in the level. At this point, either there will be no feasible paths to the collectors, or the announcements will propagate through new, previously unknown, nodes at the same level. Each new node and arc found is added to the feasibility graph. If new nodes in the same level have been found, insert them into the prohibited set; otherwise, empty the set of prohibited ASes and proceed to the next level. As an example, Fig. 1(b) shows the new nodes and arcs discovered starting from the situation in Fig. 1(a) by announcing the AS-set $\{33, 3320, 10566\}$, which corresponds to all the known nodes at level one in the initial graph.

After every BGP update, we wait a period of time to allow the network to converge and to limit the effects of route flap dampening. To deduce the presence of nodes and links that might not be visible in stable states, we examine all the updates received for p during the convergence period. A more formal description of the algorithm follows. We denote with `F` the feasibility graph, which is initially empty and is incrementally constructed during the execution of the algorithm, and with `F[l]` the nodes of level `l` in `F`. We use the same notation for a temporary graph `G`. We denote with `p` the prefix announced by Z. We name this algorithm the *level-by-level* exploration algorithm.

```
### Level-by-level exploration algorithm ###

# Obtain initial graph
F = query_route_collectors(p)

# Explore one level at a time
l = 1
while F[l] not empty:

    # Progressively prohibit ASes until no new nodes are found in level
    newnodes = F[l]
    while newnodes not empty:

        # Announce AS-set containing all known nodes at level l
        announce_as_set(F[l])

        wait_for_bgp_convergence()

        # Query route collectors and merge new nodes and arcs found into F
        previous = F[l]
        G = query_route_collectors(p)
        F = merge_graphs(F, G)
        newnodes = F[l] - previous

    l = l + 1
```

We note that this algorithm, in addition to the intrinsic constraints of AS-set stuffing described in Section 3.3, suffers from further limitations. For example, in Fig 3(b), the algorithm will discover either $A \rightarrow D$ and $B \rightarrow E$, or $A \rightarrow E$ and $B \rightarrow D$, but not both.

Another possible algorithm based on the same primitive is as follows: once all nodes in a level l have been found, process each node in l in turn. For each one, prohibit all the other nodes in l, then progressively prohibit all visible nodes in level $l+1$ until no new nodes in level $l+1$ are found. Then empty the set of the prohibited nodes and advance to the next node in l. We name this algorithm the *node-by-node* exploration algorithm. Node-by-node exploration overcomes the limitations of level-by-level exploration; however, it requires many more updates, and therefore, due to the effect of route flap dampening, longer exploration times. We believe that level-by-level exploration is a good compromise between speed and completeness of results.

Furthermore, we extract data from all the route collectors simultaneously; however, in certain topologies, using only one collector at a time and merging the results at the end allows the discovery of more arcs. For example, in Fig. 3(b), exploring the topology separately using level-by-level exploration, first using only C_1 and then only C_2, would also reveal the arcs $B \to E$ and $A \to D$, while exploring the topology using both collectors might only discover the arcs $A \to E$ and $B \to D$.

The development of more sophisticated topology discovery algorithms based on AS-set stuffing is an area we leave to further research.

4.2 Path Feasibility Determination

Suppose that we are interested in knowing whether a certain AS-path $\mathcal{P}$ is feasible for a prefix p. In principle, we may use the following algorithm: suppose that $\mathcal{P}$ ends at a collector-peer C, and let $\mathcal{Q}$ be the AS-path seen by C. Then repeat the following two steps until either $\mathcal{Q} = \mathcal{P}$ or C no longer sees the prefix:

(i) obtain the AS-path $\mathcal{Q}$ seen by C;

(ii) compare the ASes in $\mathcal{P}$ and $\mathcal{Q}$ in order, starting from the origin AS, and prohibit the first AS that differs between the two.

If $\mathcal{Q} = \mathcal{P}$, the path is feasible. Otherwise, either $\mathcal{P}$ is not feasible or the feasibility of $\mathcal{P}$ cannot be determined using AS-set stuffing. For example, in the topology in Fig. 3(c), it is not possible to determine whether the AS-path $ZABDC_1$ is feasible. This is due to the intrinsic limitation of AS-set stuffing described in Section 3.3: unless the link between A and D fails, C_1 will receive the shortest path ZAD, and prohibiting D would eliminate the path whose feasibility we wish to determine.

If the path does not end at a collector-peer, consider one collector-peer C and apply the above algorithm, replacing the exit condition $\mathcal{Q} = \mathcal{P}$ with "$\mathcal{P}$ matches the first $|\mathcal{P}|$ AS numbers of $\mathcal{Q}$ starting from Z" (where $|\mathcal{P}|$ is the length of $\mathcal{P}$). To obtain more complete results, repeat this process for every collector-peer C in turn until either the exit condition is true or all collectors have been tried.

This algorithm may require up to N different announcements per route collector tried, where N is the number of ASes. Therefore, due to the effect of route flap dampening, it requires very long execution times. A more practical approach, which usually requires only one announcement to obtain the same results and therefore is much less affected by route flap dampening, is the following, which we name the *nailed-path* algorithm: consider the feasibility graph obtained using any of the methods defined in Section 4.1 and prohibit all the ASes in levels up to and including the level of C except for the ASes in $\mathcal{P}$. Now

observe the AS-path $\mathcal{Q}$ seen by C: it is likely that either $\mathcal{Q} = \mathcal{P}$ and the path is feasible, or C does not see the prefix and the path is not feasible. If $\mathcal{Q} \neq \mathcal{P}$, we execute the above algorithm starting from step (ii). This may happen if the update sent by the algorithm reveals ASes or peerings that were not in the initial feasibility graph, or in one of the cases discussed in Section 3.3. As previously stated, in the latter case the feasibility of $\mathcal{P}$ cannot be determined.

If $\mathcal{P}$ ends in an AS A that is not a collector-peer, we proceed in the same manner, prohibiting all ASes in levels up to and including the level of A, and check whether $\mathcal{P}$ is a subpath of one of the paths seen by the route collectors.

4.3 Path Preference Comparison

Given two feasible AS-paths $\mathcal{P}_1$ and $\mathcal{P}_2$ ending in the same AS A, we may use AS-set stuffing to determine which of the two AS-paths is preferred by A. Note that if $\mathcal{P}_1$ and $\mathcal{P}_2$ have ASes in common in addition to A, which AS-path A would prefer is irrelevant since A will only ever receive one of the two paths. Therefore, we limit our attention to the case in which $\mathcal{P}_1 \cap \mathcal{P}_2 = \{A, Z\}$.

To determine which path A prefers, we obtain a feasibility graph as described in Section 4.1 and attempt to ensure that the only announcements received by A for p have the paths $\mathcal{P}_1$ and $\mathcal{P}_2$. If A is a collector-peer, we prohibit all the ASes in all levels up to the level of A except the ASes in $\mathcal{P}_1 \cup \mathcal{P}_2$. Usually, this is enough for A to see either $\mathcal{P}_1$ or $\mathcal{P}_2$. However, it may also lead to the discovery of new ASes which are not in $\mathcal{P}_1$ or in $\mathcal{P}_2$ and were not previously visible in the feasibility graph. In this case, it is sufficient to prohibit the new ASes and repeat the announcement until no new nodes are found. The announcement may also lead to the observation of another path made up exclusively of ASes which belong to either $\mathcal{P}_1$ or $\mathcal{P}_2$; in this case it is not possible to determine whether A prefers $\mathcal{P}_1$ or $\mathcal{P}_2$. We note that this may only occur if there is a feasible peering between an AS in $\mathcal{P}_1$ and an AS in $\mathcal{P}_2$. Finally, since this technique requires us to determine whether $\mathcal{P}_1$ and $\mathcal{P}_2$ are feasible, it cannot be applied if it is not possible to detemine the feasibility of the paths as described in Section 4.2.

If A is not a collector-peer, a possible approach is the following: choose a collector C and determine two paths $\mathcal{P}'_1$ and $\mathcal{P}'_2$, where $\mathcal{P}'_1(\mathcal{P}'_2)$ is the concatenation of $\mathcal{P}_1(\mathcal{P}_2)$ with $\mathcal{P}_C$ and $\mathcal{P}_C$ is any subpath between A and C such that $\mathcal{P}'_1$ and $\mathcal{P}'_2$ are both feasible. Now $\mathcal{P}'_1$ and $\mathcal{P}'_2$ both end in C and we may apply the above algorithm to determine whether C sees $\mathcal{P}'_1$ or $\mathcal{P}'_2$. Since the two AS-paths coincide from A onwards, which path is seen by C depends on which path is preferred by A. This may be repeated for different collector-peers C if necessary.

The effect of route flap dampening on path preference comparison is limited. If we start from a situation in which p is not announced, the number of updates propagated in the network is very low. When verifying the feasibility of $\mathcal{P}_1$ and $\mathcal{P}_2$, the only ASes that process BGP updates are those in the path being tested (plus any previously unknown ASes that are not prohibited by the nailed-path algorithm), and once the feasibility of $\mathcal{P}_2$ has been successfully tested, comparing the paths only requires removing the ASes in $\mathcal{P}_1$ from the prohibited set, which is likely to cause very few updates.

5 Operational Impact and Ethical Issues

In this section we discuss the technical limitations and the effects on the Internet of our techniques. We also argue that our techniques, if properly used, are safe and we address ethical issues they might pose.

5.1 Feasibility and Impact of Large AS-sets

Although the BGP updates generated by our techniques conform to the BGP specification and should be processed correctly by any compliant router, the unusual length of the AS-sets generated by AS-set stuffing may raise concerns about the robustness of routers in handling such updates.

To discover whether our BGP updates might have an operational impact on the Internet, we examined historical BGP data to determine the incidence of AS-sets and their sizes in the normal operation of the Internet. The results show that AS-sets, although rare, are constantly present in today's Internet. For example, data from the RIS route collector RRC03 for the month of February 2005 showed that every RIB dump contained between 500 and 700 entries which originated in an AS-set. The AS-sets observed are usually not very large: the largest AS-set observed during this period contained 15 ASes. However, AS-sets of unusual length have been observed before. For example, an AS-set containing 123 ASes was observed in January 2001 [1], and one containing 124 ASes was observed in June 2002 [27]. We know of no reports of adverse affects on the network caused by these announcements.

To determine how routers treat large AS-sets, and so see whether our AS-sets can effectively be used in topology discovery in the real world, we also performed tests on equipment from popular router manufacturers. Versions 12.2 and 12.0(17)S of Cisco IOS introduced the `bgp maxas-limit` command, which causes the router not to use or propagate announcements whose AS-path contains more than the configured number of ASes. The default value is 75; however, irrespective of the value of the setting, tests on various releases of IOS showed that the routers would reset the BGP session if they received an AS-path more than 512 bytes long, i.e. where the total number of ASes (including both the ASes in the AS-sequence and in the AS-set) is greater than 254. We note that this is a security problem that may be exploited by a malicious network operator to perform a denial of service attack on neighboring ASes, and have therefore reported it to the vendor. We also tested with a Juniper M7i router running JUNOS 7.0R1.5, which had no problems receiving and propagating AS-sets containing up to 255 ASes, the maximum length permitted by the BGP packet format.

As the example AS-sets used in our experiments show (see Section 6), such limits do not pose practical problems to our algorithms in the IPv6 topologies we tested, since the number of nodes involved is generally much lower. However, they might limit testing in IPv4 topologies with many upstream peers. Further, we must take into account the fact that more ASes are added to the path as it is propagated in the internet, and therefore our techniques must "leave room" for propagation, although we note that AS-paths longer than 15 ASes are rare in today's Internet [12].

Further evidence of the safety of our techniques is given by the fact that our experiments on the IPv6 network, which were performed between November 2004 and March 2005, were hardly noticed, and no problems were reported.

Another issue to consider is the possible increase of BGP traffic caused by active probing. In this respect, the number of BGP updates received from a Tier-1 router is typically higher than 15,000 per hour on average. Since route flap dampening renders our techniques ineffective if more than roughly one update per hour per prefix is sent, the effect of one exploration on the number of BGP updates seen in the Internet is negligible.

Finally, as regards possible impact on router memory, we expect that the amount of memory needed to store an AS-path with a 100-element AS-set is about 200 bytes larger than the amount of memory needed to store the same AS-path without the AS-set. Compared to the several megabytes (or tens of megabytes) of memory used by a full BGP routing table, the effect of such an AS-set is negligible.

5.2 Ethical Issues

In this subsection we consider the techniques introduced in this paper from an ethical point of view.

One possible concern regarding our techniques is that they might cause operational problems: an update with a large number of ASes in the AS-set might cause confusion as to which AS actually originated the update, thus hampering debugging; and the presence of an AS number in an AS-path might suggest that that AS was involved in the update even though in fact it was not. However, we note that the conventional use of AS-sets for route aggregation already suffers from both these problems. For example, an AS-path of $1\ \{2, 3, 4\}$ implies that one of AS2, AS3, or AS4 originated the routing information that generated the update, but does not specify which one. Also, since every BGP announcement is tied to a particular prefix, the origin of the announcement can easily be traced by querying the Internet Routing Registries. Finally, the BGP announcements used can be tagged using BGP community attributes [2] to indicate that they make use of AS-set stuffing, although community values are not always propagated by the ASes they traverse.

A second possible concern is that our use of AS-sets is not the use intended by the BGP specification. This is true, but we note that it frequently happens that protocols designed for a certain purpose are used in ways that the original designers did not foresee. Examples are Network Address Translation [5], which uses the TCP and UDP port fields to distinguish between hosts using private addresses, IP-in-IP tunneling [23], where a layer 3 protocol is carried by another layer 3 protocol instead of by a data-link layer protocol, and the use of duplicated TCP acknowledgements to implement fast retransmit and fast recovery congestion control [25].

Finally, it could be argued that placing the AS number of another AS in a BGP update should require permission from the AS in question. There is no technical reason for this; rather, such an action might be perceived as an improper use of an asset of another organization. We believe that this is a matter of policy to be discussed in the appropriate fora. The opinion of the authors is that, since the techniques cause no technical problems, it is only a matter of determining their cost-benefit ratio. Since our techniques cannot reasonably be used if the originating AS needs to obtain permission from all the ASes that are close to it in the Internet topology, we believe that the question is simply one of deciding whether the techniques are useful for the Internet community or not.

5.3 Applicability to the IPv4 Internet

Although all our experiments were carried out in the IPv6 Internet, nothing in our techniques is specific to IPv6: the BGP protocol operates in the same way in IPv6 as it does in IPv4, and the operational practices of the two networks are similar. Based on the results obtained in the IPv6 network and the equipment tests we have performed, we believe that our techniques are safe to use on the IPv4 Internet as well.

As regards effectiveness, the much larger size of the IPv4 Internet (about 30 times the number of ASes) and the greater number of peerings might influence the scope of applicability of our techniques due to the limited number of ASes that can be inserted in an AS-set.

6 Experimental results

In this section we describe our experimental setup, discuss the effectiveness of our prefix propagation discovery strategies and the limitations posed by route flap dampening. Further, we test our policy determination techniques. Finally, we relate our discovery techniques to more conventional methods.

6.1 Experimental setup

Due to the innovative nature of our techniques, we first tested them in the IPv6 Internet, in order to limit the extent of any possible problems they might cause: the IPv6 Internet is smaller than the IPv4 Internet, employs fewer legacy devices, and supports fewer mission-critical services.

Our BGP announcements originated in AS 5397 using the prefix `2001:a30::/32`. Transit was provided by AS 15589 and four of its upstream providers, all of which accepted our AS-set announcements. The announcements were generated using custom software developed by the authors [20], and the effect of each BGP update sent was observed by means of the RIS database, which provides BGP data collected in real-time.

We note that our experiments are difficult to reproduce because they depend on the current state of the BGP routing in the Internet and the unpredictable order in which BGP updates are propagated; however, the announcements we sent and the results they produced are permanently stored by the RIS in the raw data repository.

6.2 Prefix propagation discovery

To evaluate the effectiveness of our topology discovery algorithms, we compared the feasibility graphs they generated to graphs obtained from the collectors in stable routing states. The results are in Table 1.

As can be seen, our algorithms observe approximately three times more ASes and seven times more feasible peerings than when active probing is not used. The results obtained using AS-set stuffing are marginally better than those produced by withdrawal observation.

We also note that that level-by-level exploration is a superset of withdrawal observation, since in effect removing all the ASes at a certain level is equivalent to sending a withdrawal as far as the rest of the Internet is concerned.

Method	ASes	Peerings
RIS query	32	31
Withdrawal observation	94 (2.9x)	211 (6.8x)
Level-by-level exploration	97 (3.0x)	222 (7.2x)

Table 1: ASes and feasible peerings found by a standard RIS query, by withdrawal observation, and by level-by-level exploration.

However, although withdrawal observation and level-by-level exploration obtain similar results in terms of number of nodes and peerings discovered, the topologies produced are different. The graphs in Fig. 4 show the level at which the new ASes and peerings are discovered (we consider a peering to belong to the levels of both its endpoints).

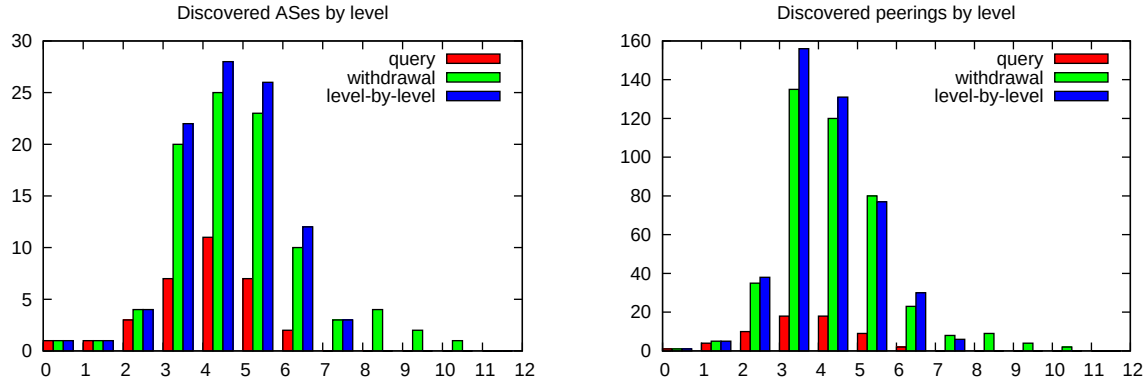


Figure 4: ASes and peerings found by a standard RIS query, by withdrawal observation, and by level-by-level exploration, sorted by level.

As can be seen from the graph, the topology produced by level-by-level exploration is more concentrated in the lower levels of the feasibility graph. Since the ASes that were discovered by the two methods are mostly the same, this means that certain ASes were discovered at a lower level by level-by-level exploration than by withdrawal observation. Therefore, since the definition of level of a node is the shortest topological distance in the feasibility graph from the origin AS, the topology produced by level-by-level exploration is more accurate.

6.3 Impact of route-flap dampening

To gain a basic understanding of the impact of route-flap dampening on our topology discovery algorithms, we used withdrawal observation to generate feasibility graphs at decreasing time intervals. We used withdrawal observation rather than level-by-level exploration in order to quantify the worst-case effect of a single update, since a single withdrawal typically generates a very large number of updates due to the path exploration process, thus increasing the likelihood of dampening.

The first withdrawal was sent in a stable routing state, many hours after the last previously observed BGP update for the prefix. The second withdrawal was sent after approximately two hours; the third was sent approximately one hour later, and subsequent withdrawals were sent approximately every half hour. After every withdrawal, we

analyzed the BGP convergence process for 15 minutes and then reannounced the prefix in preparation for the next withdrawal.

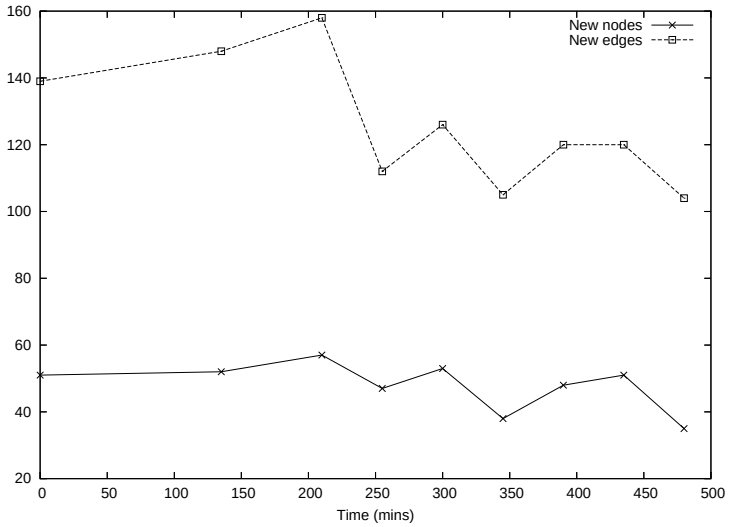


Figure 5: New ASes and peerings found by successive explorations using withdrawal observation.

The results show that the number of new ASes and peerings found substantially decreases if the exploration is performed less than one hour after the previous exploration. This agrees with the results in [15], which suggest that the maximum time that a route is suppressed in today's Internet is approximately one hour. Further evidence to support this is that approximately one hour after the first withdrawal, additional BGP activity for the prefix was observed, possibly due to paths that were dampened during the withdrawal being unsuppressed and used.

6.4 Comparison with the full AS graph

We also compared the results of our per-prefix topology discovery techniques with more conventional interdomain topology discovery techniques which obtain topology information by observing the global AS-graph C containing the AS-paths used by all the prefixes in the Internet. At a given time, we simultaneously obtained a feasibility graph W using withdrawal observation and a full AS graph C for all the prefixes announced on the Internet. We then compared W with the graph I induced by the nodes of W in C.

Date	I	W	I only	W only
2005/02/23 09:54	312	158 (51%)	175	21 (13%)
2005/02/25 10:03	334	168 (50%)	189	23 (14%)
2005/02/27 15:18	302	154 (51%)	174	26 (17%)

Table 2: Comparison between the arcs in the graph W generated by withdrawal observation and those in the graph I induced by W in the global AS-graph C.

The results, in Table 2, show that our per-prefix graphs only have about 50% of the arcs of the induced graphs. This shows that there is a substantial difference between existing topology discovery methods and our active probing discovery methods: the topology

captured by the former is much richer, but provides no information on which of the discovered peerings are feasible. On the other hand, the topology discovered by our techniques only consists of those ASes and peerings that may actually be traversed by BGP announcements from p and thus traffic flows to p and is thus more valuable from an ISP's point of view.

Finally, we note that between 13% and 17% of the arcs in the per-prefix graphs were not visible in the graphs induced in the full AS graph. These are probably backup links and links that are not visible in stable routing states, which confirms that active probing can be useful to enrich more traditional topology discovery methods as well.

6.5 Path Feasibility Determination

To verify our path feasibility determination techniques, we obtained an initial feasibility graph using withdrawal observation and chose random paths on the graph starting from AS 5397 and ending in a route collector, then applied the nailed-path algorithm to determine which of the paths were feasible. A few examples from our results are in Table 4.

The "Path" column shows the path we tested. The "UTC Time" column contains the time at which the BGP announcement was sent and the third column shows the AS-set announced. The "Observed Path" column shows the path that was observed after BGP propagation and the "Feasible" column shows whether the path was feasible. Note that if no AS-path is observed then we can affirm that a path is not feasible; if another AS-path was observed, it is not possible to determine whether the tested AS-path is feasible or not.

6.6 Path Preference Comparison

We tested our path preference comparison technique on various paths ending in route collectors. Some of our results are in Table 3.

UTC Time	Collector	AS-path $\mathcal{P}_1$	AS-path $\mathcal{P}_2$	Observed path	Preferred
2005-02-21 16:30:28	3333	3333 3265 6175 13944 6939 15589 5397	3333 1103 3425 293 3320 15589 5397	3333 1103 3425 293 3320 15589 5397	$\mathcal{P}_2$
2005-04-19 12:38:09	1103	1103 2607 1275 15589 5397	1103 20965 1299 3320 15589 5397	1103 20965 1299 3320 15589 15589 5397	$\mathcal{P}_2$

Table 3: Path preference comparison results.

We note that the second example in our table is interesting because it shows an AS preferring a longer path over a shorter path: between the two paths 1103 2607 1275 15589 5397 and 1103 20965 1299 3320 15589 15589 5397, AS 1103 prefers the latter. This suggests that AS 1103 is explicitly configuring its routers to prefer paths coming from AS 20965, the Géant research network, over other paths.

7 Conclusions and future work

In this paper we have presented techniques for active probing of the interdomain topology that permit the operators of ISP to gain a greater understanding of how the ISP's BGP

UTC Time	Path	AS-set	Observed Path	Feasible
2005-02-03 18:43:40	3257 2497 2500 4691 33 15589 5397	{4725, 5511, 7660, 18084, 7684, 6939, 10566, 1275, 3320, 5609, 2914, 14277, 6175, 5623, 278, 4697, 3549, 13944, 4555, 15897, 680, 31103, 1299, 5539, 9112, 3246, 8657, 8447, 1257, 4725, 17715, 6435, 145, 12779, 25358, 20965, 1853, 3265, 16713, 109, 6762, 559, 29686, 3344, 8664, 12968, 13110, 8763}	3257 2497 2500 4691 33 15589 15589 5397	Yes
2005-02-21 17:02:27	3333 3265 6175 13977 6939 15589 5397	{10566, 33, 1275, 3320, 5623, 7580, 6435, 5539, 12477, 4716, 15897, 4697, 5609, 1299, 2607, 31103, 293, 4555, 2042, 8175, 145, 6342, 8447, 3549, 12779, 1257, 3257, 8763, 1752, 2500, 4725, 25358, 3246, 20965, 1853, 559, 1103, 29686, 3245, 513}	3333 3265 6175 13977 6939 6939 15589 15589 5397	Yes
2005-02-21 16:18:25	3333 1103 2607 1275 15589 5397	{6939, 10566, 33, 3320, 5623, 13944, 7580, 6435, 6175, 5539, 14277, 4716, 15897, 4697, 5609, 2914, 1299, 31103, 293, 4555, 2042, 8175, 145, 6342, 8447, 3549, 12779, 3265, 1257, 3257, 8763, 1752, 2500, 4725, 25358, 3246, 20965, 1853, 559, 29686, 3425, 513, 278, 12859, 8472, 6830, 18084, 2497, 7684, 29377, 1930, 11537, 7660, 5511}	3333 1103 2607 1275 15589 15589 5397	Yes
2005-02-23 15:27:39	6175 4555 13944 6939 15589 5397	{1275, 3320, 33, 10566, 2607, 109, 5609, 293, 513, 31103, 1299, 2497, 5623, 4725, 17715, 3549, 5539, 14277, 2914, 4697, 4716, 6435, 7580, 3748, 2042, 15897, 2549, 6762, 3425, 9264, 5430, 20965, 1103, 29686, 1752, 1853, 25358, 6830, 559, 3257, 12779, 3265, 2500, 145, 8763, 4691, 3786}	–	No
2005-02-23 15:38:30	6175 145 7580 10566 15589 5397	{1275, 3320, 6939, 33, 2607, 109, 5609, 293, 513, 31103, 1299, 2497, 5623, 4725, 17715, 3549, 5539, 14277, 2914, 4697, 4716, 6435, 13944, 3748, 2042, 15897}	–	No
2005/04/19 13:56:56	559 1299 3320 1275 15589 15589 5397	{33, 109,145, 278, 293, 513, 559, 1103, 1257, 1752, 1853, 2042, 2497, 2500, 2607, 2914, 3257, 3265, 3292, 3352, 3425, 3549, 3748, 3786, 4691, 4697, 4716, 4725, 5609, 5623, 6175, 6320, 6342, 6435, 6830, 6939, 7033, 8447, 10566, 12779, 13944, 14277, 17715, 17965, 20965, 24136, 24895, 29686, 31103, 32266}	559 1299 3320 15589 15589 5397	Unknown

Table 4: Path feasibility determination results.

announcements are propagated than by using existing techniques. Namely, we have shown a basic set of probing primitives and discovery strategies based on these primitives, and we have discussed operational impact and ethical issues. Experimental results show the effectiveness and the efficiency of our methods; we believe that the use of our techniques can be very useful for operators and have a negligible impact on bandwith and router overhead.

In the future we plan to refine our topology discovery algorithms, evaluate the impact of the number of levels explored by level-by-level exploration on the richness of the topology discovered, and explore how AS-set stuffing can be combined with network measurements (e.g. RTT probes) to evaluate how network performance would be affected by alternate routing configurations.

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